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RCE/1700

PTO/SB/30 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Request Continued Examination (RCE) **Transmittal** 

Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| ond to a collection of information | n uniess it displays a valid C | JIVIB Control number. |
|------------------------------------|--------------------------------|-----------------------|
| Application Number                 | 09/870239-Conf. #08818         |                       |
| Filing Date                        | May 30, 2001                   | Dr.                   |
| First Named Inventor               | Tie Lan                        | RECEIVE               |
| Art Unit                           | 1714                           | OCT 2 2 2003          |
| Examiner Name                      | W. Katarzyna                   | TC 1700               |
| Attomey Docket No.                 | 28682/71114                    | PO                    |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

| Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). |  |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.                                                                                                                                                                                                      |  |  |  |  |
| i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on                                                                                                                                                                                                                                                                                                             |  |  |  |  |
| ii. Other                                                                                                                                                                                                                                                                                                                                                                                    |  |  |  |  |
| b. x Enclosed                                                                                                                                                                                                                                                                                                                                                                                |  |  |  |  |
| i. X Preliminary Amendment iii. Information Disclosure Statement (IDS)                                                                                                                                                                                                                                                                                                                       |  |  |  |  |
| ii. Affidavit(s)/Declaration(s) iv. Other                                                                                                                                                                                                                                                                                                                                                    |  |  |  |  |
| 2. Miscellaneous                                                                                                                                                                                                                                                                                                                                                                             |  |  |  |  |
| a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)                                                                                                                                                                                   |  |  |  |  |
| b. Other                                                                                                                                                                                                                                                                                                                                                                                     |  |  |  |  |
| 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.                                                                                                                                                                                                                                                                                                  |  |  |  |  |
| The Director is hereby authorized to charge the following fees, or credit any overpayments, to  Deposit Account No.                                                                                                                                                                                                                                                                          |  |  |  |  |
| i. X RCE fee required under 37 CFR 1.17(e)                                                                                                                                                                                                                                                                                                                                                   |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |  |
| ii. Extension of time fee (37 CFR 1.136 and 1.17)                                                                                                                                                                                                                                                                                                                                            |  |  |  |  |
| iii. Other                                                                                                                                                                                                                                                                                                                                                                                   |  |  |  |  |
| b. X Check in the amount of \$ 770.00 enclosed                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| c. Payment by credit card (Form PTO-2038 enclosed)                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED                                                                                                                                                                                                                                                                                                                                          |  |  |  |  |
| Name (Print/Type) Richard H. Anderson Registration No. (Attorney/Agent) 26,526                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| Signature Pilhard H. Anderson Date October 16, 2003                                                                                                                                                                                                                                                                                                                                          |  |  |  |  |

| I hereby certify that this correspondence is being deposited with | h the U.S. Postal Service with sufficient postage as First Class Mail, in |
|-------------------------------------------------------------------|---------------------------------------------------------------------------|
| an envelope addressed to: MS RCE, Commissioner for Patents        | ts, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown           |
| below.                                                            | 0.1.010.0                                                                 |

Dated: October 16, 2003

(Richard H. Anderson)

PTO/SB/17 (08-03)

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|-------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|                                                                                                       | Compl te if Known                                                                                                                                     |  |
| FEE TRANSMITTAL                                                                                       | Application Number 09/870239-Conf. #08818                                                                                                             |  |
| for EV 2004                                                                                           | Filing Date May 30, 2001                                                                                                                              |  |
| for FY 2004                                                                                           | First Named Inventor Tie Lan                                                                                                                          |  |
| Effective 10/01/2003, Patent fees are subject to annual revision.                                     | Examiner Name W. Katarzyna                                                                                                                            |  |
| Applicant claims small entity status. See 37 CFR 1.27                                                 | 4744                                                                                                                                                  |  |
|                                                                                                       | Art Unit 17/14  Attorney Docket No. 28682/71114                                                                                                       |  |
|                                                                                                       | <u> </u>                                                                                                                                              |  |
| METHOD OF PAYMENT (check all that apply)  Check Credit Money Check Nace                               | FEE CALCULATION (continued)                                                                                                                           |  |
| X Check Card Money Other None                                                                         | 3. ADDITIONAL FEES                                                                                                                                    |  |
| Deposit Account:                                                                                      |                                                                                                                                                       |  |
| Deposit<br>Account 13-2855                                                                            | Fee                                                                                                               |  |
| Account 13-2855<br>Number                                                                             | Code (\$) Code (\$) Fee Description                                                                                                                   |  |
| Deposit MARSHALL, GERSTEIN &                                                                          | 1051 130 2051 65 Surcharge – late filing fee or oath                                                                                                  |  |
| Name BORUN LLP                                                                                        | 1052 50 2052 25 Surcharge – late provisional filing fee or cover                                                                                      |  |
| The Director is authorized to: (check all that apply)                                                 | sheet.                                                                                                                                                |  |
| Charge fee(s) indicated below X Credit any overpayments                                               | 1053 130 1053 130 Non-English specification                                                                                                           |  |
| Charge any additional fee(s) during the pendency of this application                                  | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination                                                                                 |  |
|                                                                                                       | 1804 920* 1804 920* Requesting publication of SIR prior to                                                                                            |  |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.     | Examiner action  1805 1,840* 1805 1,840* Requesting publication of SIR after                                                                          |  |
| ·                                                                                                     | Examiner action                                                                                                                                       |  |
| FEE CALCULATION  1. BASIC FILING FEE                                                                  | 1251 110 2251 55 Extension for reply within first month  1252 420 2252 210 Extension for reply within second month                                    |  |
| Large Entity Small Entity                                                                             | 1253 950 2253 475 Extension for reply within third month                                                                                              |  |
| Fee Fee Fee <u>Fee Description</u> Fee Paid                                                           | 1254 1,480 2254 740 Extension for reply within fourth month                                                                                           |  |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee                                              | 1255 2,010 2255 1,005 Extension for reply within fifth month                                                                                          |  |
| 1002 340 2002 170 Design filing fee                                                                   | 1401 330 2401 165 Notice of Appeal                                                                                                                    |  |
| 1003 530 2003 265 Plant filing fee                                                                    | 1402 330 2402 165 Filing a brief in support of an appeal                                                                                              |  |
| 1004 770 2004 385 Reissue filing fee                                                                  | 1403 290 2403 145 Request for oral hearing                                                                                                            |  |
| 1005 160 2005 80 Provisional filing fee                                                               | 1451 1,510 1451 1,510 Petition to institute a public use proceeding                                                                                   |  |
| SUBTOTAL (1) (\$) 0.00                                                                                | 1452 110 2452 55 Petition to revive – unavoidable                                                                                                     |  |
| (,)                                                                                                   | 1453 1,330 2453 665 Petition to revive - unintentional                                                                                                |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from                                            | 1501 1,330 2501 665 Utility issue fee (or reissue)                                                                                                    |  |
| Claims below Fee Paid                                                                                 | 1502 480 2502 240 Design issue fee                                                                                                                    |  |
| Total Claims -20** = x = .00 Independent                                                              | 1503 640 2503 320 Plant issue fee                                                                                                                     |  |
| Claims                                                                                                | 1460 130 1460 130 Petitions to the Commissioner                                                                                                       |  |
| Multiple Dependent                                                                                    | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)                                                                                                   |  |
| Large Entity Small Entity                                                                             | 1806 180 1806 180 Submission of Information Disclosure Stmt                                                                                           |  |
| Fee Fee Fee Code (\$) Code (\$)                                                                       | 8021 40 8021 40 Recording each patent assignment per property (times number of properties)                                                            |  |
| 1202 18 2202 9 Claims in excess of 20                                                                 | 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))                                                                         |  |
| 1201 86 2201 43 Independent claims in excess of 3                                                     | 1810 770 2810 385 For each additional invention to be                                                                                                 |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims | examined (37CFR 1.129(b))  1801 770 2801 385 Request for Continued Examination (RCE) 770.                                                             |  |
| over original patent                                                                                  | Request for expedited examination                                                                                                                     |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20                                                      | of a design application                                                                                                                               |  |
| and over original patent                                                                              | Other fee (specify)                                                                                                                                   |  |
| **or number previously paid, if greater; For Reissues, see above                                      | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 770.                                                                                              |  |
|                                                                                                       | (OI-I- (# oWork))                                                                                                                                     |  |
| SUBMITTED BY                                                                                          | (Complete (if applicable))  Registration No. 26 526 Telephone (212) 474 0556                                                                          |  |
| Name (Fillio Type)   Richard H. Anderson                                                              | Attorney/Agent) 26,526 Telephone (312) 474-9556                                                                                                       |  |
| Signature Date October 16, 2003                                                                       |                                                                                                                                                       |  |

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Dated: October 16, 2003

Signature: 

(Richard H. Anderson)